

Birkhoff's Theorem

In $D=4$, possible to prove via Einstein's equations that

- if spherical symmetry holds
- and
- if outside matter distribution
- then

▷ metric outside is Schwarzschild.

Nontrivial uniqueness theorem, specific to $d=4$. Rather involved proof - see §5.2 of Carroll for full story. Some physical details are worth picking out, as follows :-

▷ Every spherically symmetric vacuum metric has a Killing Vector that is timelike
 In general, a spacetime with a timelike K.V. - near ∞ - is called stationary.

[“doing exactly the same thing @ every time”]

c.f. static spacetime

[“not doing anything”]

(5.1) Let's check this by looking at the K.V. equation $\nabla_{(\mu} V_{\nu)} = 0$

For a spherically symmetric metric we can write $ds^2 = -e^{2\alpha} dt^2 + e^{2\beta} dr^2 + r^2 d\Omega^2$

Putting this in Einstein's equations shows that $\alpha = \alpha(r)$ and $\beta = \beta(r)$ (no t dependence)

We need $\Gamma^{\mu}_{\lambda\sigma}$ in order to write the K.V. equations.

(5.2)
$$\left\{ \begin{array}{ll} \Gamma^t_{tt} = (\partial_r \alpha) & ; \quad \Gamma^r_{tt} = e^{2(\alpha-\beta)} (\partial_r \alpha) ; \\ \Gamma^r_{rr} = (\partial_r \beta) & ; \quad \Gamma^\theta_{r\theta} = \frac{1}{r} = \Gamma^\phi_{r\phi} ; \\ \Gamma^r_{\theta\theta} = -r e^{-2\beta} & ; \quad \Gamma^r_{\phi\phi} = -r \sin^2 \theta e^{-2\beta} ; \\ \Gamma^\theta_{\phi\phi} = -\sin \theta \cos \theta & ; \quad \Gamma^\phi_{\theta\phi} = \cot \theta . \end{array} \right.$$

$$\nabla_{(\mu} V_{\nu)} = \partial_{(\mu} V_{\nu)} - \Gamma^\lambda_{\mu\nu} V_\lambda \quad \left(\leftarrow \frac{1}{2} (4)(5) = 10 \text{ indep. eqs.} \right)$$

(5.3)
$$\left\{ \begin{array}{ll} \nabla_t V_t = \partial_t V_t - e^{2(\alpha-\beta)} (\partial_r \alpha) V_r ; & \nabla_r V_\theta = \frac{1}{2} \partial_r V_\theta - \frac{1}{r} V_\theta ; \\ \nabla_t V_r = \frac{1}{2} \partial_r V_t - (\partial_r \alpha) V_t ; & \nabla_r V_\phi = \frac{1}{2} \partial_r V_\phi - \frac{1}{r} V_\phi ; \\ \nabla_t V_\phi = 0 & ; \quad \nabla_t V_\theta = 0 ; & \nabla_\theta V_\theta = -r e^{-2\beta} V_r ; \\ \nabla_r V_r = \partial_r V_r - (\partial_r \beta) V_r ; & \nabla_\theta V_\phi = -\cot \theta V_\phi ; \end{array} \right.$$

* What kind of matter is OK, and what's the equation of state?

• Let's suppose we have a perfect fluid

$$(2.2) \quad T_{\mu\nu} = (p + \rho) u_{\mu} u_{\nu} + p g_{\mu\nu}$$

and try to solve Einstein equations in the star interior. [At minimum, we know that at $r=R$ the metric should match on to exterior-Schwarzschild]

Make Ansatz

$$(2.3) \quad ds^2 = -e^{2\alpha(r)} dt^2 + e^{2\beta(r)} dr^2 + r^2 d\Omega_2^2$$

Choice of radial coord such that radius (s^2) = r

Looking for solutions of

$$(2.4) \quad G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = T_{\mu\nu} \cdot 8\pi G$$

Need to know Einstein tensor for spacetime (2.3).

We can either turn the handle by hand, or use Maple or a trusted source for general sph. sym. (2.3)'s.

Find

$$(3.1) \left\{ \begin{aligned} G_{tt} &= \frac{1}{r^2} e^{2(\alpha-\beta)} (2r \partial_r \beta - 1 + e^{2\beta}) \\ G_{rr} &= \frac{1}{r^2} (2r \partial_r \alpha + 1 - e^{2\beta}) \\ G_{\theta\theta} &= r^2 e^{-2\beta} \left[\partial_r^2 \alpha + (\partial_r \alpha)^2 - \partial_r \alpha \partial_r \beta + \frac{1}{r} \partial_r (\alpha - \beta) \right] \\ G_{\varphi\varphi} &= \sin^2 \theta G_{\theta\theta} \end{aligned} \right.$$

(3.2) For a timelike fluid in its rest frame $u_\mu = (e^\alpha, 0, 0, 0)$ is unit-normalized and timelike.

With (3.2), find

$$(3.3) \left\{ \begin{aligned} T_{tt} &= (e^{2\alpha}) \rho \\ T_{rr} &= (e^{2\beta}) p \\ T_{\theta\theta} &= (r^2) p \\ T_{\varphi\varphi} &= (r^2 \sin^2 \theta) p \end{aligned} \right.$$

(3.4) Have tt $\frac{1}{r^2} e^{-2\beta} [2r \partial_r \beta - 1 + e^{2\beta}] = 8\pi G \rho(r)$

(3.5) rr $\frac{1}{r^2} e^{-2\beta} [2r \partial_r \alpha + 1 - e^{2\beta}] = 8\pi G p(r)$

(3.6) θθ $e^{-2\beta} [\partial_r^2 \alpha + (\partial_r \alpha)^2 - \partial_r \alpha \partial_r \beta + \frac{1}{r} \partial_r (\alpha - \beta)] = 8\pi G p(r)$

(φφ proportional to θθ eqn ∴ spherical symmetry)

Turns out: easiest way forwards is to define

$$m(r) \equiv \frac{r}{2G} (1 - e^{-2\beta})$$

(3.7) or $e^{2\beta} \equiv \left(1 - \frac{2Gm(r)}{r} \right)^{-1}$

The tt equation is then

(4.1)

$$\boxed{\frac{dm(r)}{dr} = 4\pi r^2 \rho(r)}$$

(sensible! ✓)

Now: if we want to integrate up to get the mass, there is a wee subtlety. Namely, that to match the interior and exterior metrics what we should do is

(4.2)

$$\text{write } \bar{M} \equiv \int_0^R 4\pi (r')^2 dr' \rho(r') \left(1 - \frac{2Gm(r')}{r'}\right)^{-1/2}$$

because this is going to match MAOM for Schwarzschild.

The function

(4.3)

$$m(r) \equiv \int_0^r 4\pi dr' (r')^2 \rho(r') \quad ; \quad M \equiv m(R)$$

is the "naive" mass; then

(4.4)

$$\bar{M} - M = E_{\text{binding}}$$

← notion that is well-defined for these stars, but not always in general in GR.

The other equation was for α

(4.5)

$$\frac{d\alpha}{dr} = \frac{Gm(r) + 4\pi G r^3 \rho(r)}{r^2 [1 - 2Gm(r)/r]}$$

Can be integrated $\Rightarrow g_{tt} = -e^{2\alpha}$

Also, can find dp/dr via:

(4.6)

$$\nabla_\mu T^{\mu\nu} = 0$$

to an equation which is simpler to work with, via

(4.7)

$$\boxed{(\rho + p) \frac{d\alpha}{dr} = -\frac{dp}{dr}}$$

viz :-

(4.8)

$$\boxed{\frac{dp}{dr} = -\frac{(\rho(r) + p(r)) [Gm(r) + 4\pi G r^3 \rho(r)]}{r [r - 2Gm(r)]}}$$

Eqns (4.1) & (4.8) are together called the Tolman-Oppenheimer-Volkoff equation

or, less fancy, the eqns for hydrostatic equilibrium when Einstein gravity is your spacetime theory. 😊

(4.9)

To solve, we need $p(\rho)$: "equation of state"

(5.1) Astrophysically interesting systems often involve fluids with polytropic equations of state, $p = K \rho^\gamma$ (e.g. " $p = w\rho$ " [simplest])
constant

See Carroll on p.234 for working-out of some what unrealistic case of incompressible fluid.

(5.2) Take $\rho(r) = \begin{cases} \rho_*, & r \leq R \\ 0, & r > R \end{cases}$

(5.3) Then easy to integrate for $m(r)$:
 $m(r) = \begin{cases} \frac{4}{3}\pi r^3 \rho_* & , r \leq R \\ \frac{4}{3}\pi R^3 \rho_* & , r > R \end{cases}$

Hydrostatic equilibrium eqn integrates immediately to give

(5.4)
$$\frac{p(r)}{\rho_*} = - \frac{(\sqrt{R^3 - r_g r^2} - R\sqrt{R - r_g})}{(\sqrt{R^3 - r_g r^2} - 3R\sqrt{R - r_g})} \quad r \leq R$$

where

(5.5) $r_g \equiv 2GM = \frac{8}{3}\pi R^3 \rho_* G$

(Notice that the pressure goes up monotonically with decreasing radius; this makes sense because the stuff nearer the core has the weight of the stuff above it to cope with.)

$p(0) \rightarrow \infty$ iff $M > M_{max} = \frac{4R}{9G}$

This is called Buchdahl's theorem.

