

Action Principle for gravity

We can derive Einstein's equation  $R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi GmT_{\mu\nu}$  from an action principle; this actually tells us how to define  $T_{\mu\nu}$  in-principle.

What I'll do is propose an action for  $g_{\mu\nu}$  that gives the Einstein equation, which is consistent with decent physical principles.

First, a reminder about Classical Field Theory

In classical mechanics, our variables were

coordinates  $q^a(t)$   $\leftarrow$  non-relativistic time  
and the "velocities" were

$\dot{q}^a(t)$ ,  $\bullet \equiv d/dt$ .

We also defined canonical momenta

$$p_a \equiv \frac{\partial L}{\partial \dot{q}^a}$$

where the action

$$S = S[q^a(t)] \\ = \int dt L(q^a, \dot{q}^a)$$

and we can form the Hamiltonian

$$H = \sum_a p_a \dot{q}^a - L$$

$$= H(q^a, p_b) \quad (\text{lives on phase space})$$

Hamilton's principle  $\Leftrightarrow \delta S = 0$

Euler-Lagrange equations

$$\frac{\partial L}{\partial q^a} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}^a} \right) = 0$$

equivalent to Hamilton's equations

$$\frac{dp_a}{dt} = \{p_a, H\}$$

$$\frac{dq^a}{dt} = \{q^a, H\}$$

with  $\{f, g\} = \frac{\partial f}{\partial q^a} \frac{\partial g}{\partial p_a} - \frac{\partial g}{\partial q^a} \frac{\partial f}{\partial p_a}$  (f, g live on phase space)

(In particular,

$$\{q^a, H\} = \frac{\partial H}{\partial p_a} \quad \text{and} \quad \{p_a, H\} = -\frac{\partial H}{\partial q^a}.$$

Field Theory:  $\frac{d}{dt} \rightarrow \partial_\mu$

and our "coordinates" are

$$q^a(t) \rightarrow \phi^a(x^\mu)$$

Here,  $a$  is a collection of indices (could be a vector or tensor index, or an internal symmetry index (acted on by a gauge group, or something)).

The  $\phi^a(x^\mu)$  are fields and in GR (i.e. physics!) they must be tensors.

Varying the action gives  $\frac{\delta S[\phi^a(x^\mu)]}{\delta \phi^b} = 0$

gives, as you might expect,

$$\boxed{\frac{\partial \mathcal{L}}{\partial \phi^a} - \partial_\mu \left( \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi^a} \right) = 0}$$

where

$$\begin{aligned} S[\phi^a(x^\mu)] &= \int d^D x \mathcal{L}_{\text{matter}} && \leftarrow D \text{ (dim of spacetime)} \\ &= \int dt \mathcal{L} && \uparrow \text{Lag. density} \quad \mathcal{L} \text{ Lagrangian} \\ &= \int (d^D x \sqrt{-g}) \left( \frac{1}{\sqrt{-g}} \mathcal{L}_{\text{matter}} \right) \end{aligned}$$

[sometimes called  $(e^{-1} \mathcal{L})$  by bein-savvy people :)]

# Constructing action for $g_{\mu\nu}$

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Note Title

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⊗ What set of principles will we use to construct our action for  $g_{\mu\nu}$ ?

#1: It should be invariant under coordinate transformations (perhaps up to a total derivative).

So if we write  $S = \int d^D x \mathcal{L}$  we need to make

sure (a)  $\int d^D x \sqrt{-g}$  (etc.)

(b) (etc.) must be a scalar, not just a tensor.

$$\Rightarrow S = \int d^D x \sqrt{-g} \quad (\text{scalar})$$

⊕ What scalars can we build out of the metric tensor?

The easiest way I find to do this kind of thought process is by considering

- tensors first
- then contracting.

⊕ What do we have to work with? We've got  $\nabla_\mu$ ,  $g_{\lambda\sigma}$ ,  $R_{\alpha\beta\gamma\delta}$ .

Can't contract  $\nabla_\mu$  with anything to make a scalar, because it has an odd # of indices.

One option is to consider

$$g^{\alpha\beta} g_{\alpha\beta} = g^\alpha{}_\alpha = D = \text{constant.}$$

Let's call  $S_\Lambda = -2 (\text{const.}) \int d^D x \sqrt{-g} \Lambda$

What about  $\nabla^\mu \nabla^\nu g_{\mu\nu}$  ?

This is in-principle OK, but since we're using a metric-compatible connection so that  $\nabla_\alpha g_{\mu\nu} = 0$  (which implies that  $\nabla^\mu \nabla^\nu g_{\mu\nu} = 0$ .)

So ... what else?

The next available thing is  $R_{\alpha\beta\gamma\delta} g^{\alpha\gamma} g^{\beta\delta} = R$

This is the lowest - derivative - order invariant built out of  $\nabla, g$  and  $R$  ... and then we would write  $S_E = (\text{const.}) \int d^D x \sqrt{-g} R$

Gives a well-defined initial-value problem because it involves only [up to] second-order derivatives of the fundamental dynamical variable  $g_{\mu\nu}$ .

So ... what is this (const.) ?

Being good physicists, we can work out what its dimensions must be, at least.

$g_{\mu\nu}$  itself has no dimensions because

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

↑ [length]      ↑

So  $\sqrt{-g}$  is dimensionless. Now, schematically,

$$R'_{\dots} \sim \partial \Gamma'_{\dots} + \Gamma'_{\dots} \Gamma'_{\dots} \text{ (etc.)}$$

and

$$\Gamma'_{\dots} \sim g'' \partial g_{\dots} + \text{(etc.)}$$

in other words,  $R \sim 2$  derivatives on  $g$  i.e.

$$[R] = \frac{1}{\text{length}^2} \cong \frac{1}{L^2}$$

So, what of  $S_{\text{grav}}$ ?

$$S_{\text{grav.}} = (\text{const.}) \int d^D x \sqrt{-g} R$$

Units:  $\uparrow$   $\uparrow$   $\uparrow$   
 $so$   $[(\text{const.})] = L^{D-2} [h]$   
 $= L^{D-2} \cdot M L T^{-1} L$   
 $= L^{4-D} M T^{-1}$

$$\text{Let } (\text{const.}) = \frac{h}{l_p^{D-2}} \equiv \frac{h}{l_p^{D-2}}$$

Planck

⊕ Is this thingy related to  $G_N$  at all?  
 To figure out if we're in the right ballpark, let's figure out the dimensions of  $G_N$ .

Potential for a point mass " $V = -\frac{GM}{r}$ "

In  $D$  dimensions, Gauss's law  $\Rightarrow$  flux spreads out over  $(D-2)$ -sphere, rather than a 2-sphere, and the surface area for  $S^{D-2}$  goes like  $r^{D-2} \Rightarrow$   
 $F \sim -\nabla V \sim -\frac{GMm}{r^{D-2}} \sim ma$

i.e.  $[a] = \left[ \frac{G_N M}{r^{D-2}} \right]$

i.e.  $[G] = \left[ \frac{r^{D-2} a}{M} \right]$   
 $= L^{D-2} (L T^{-2}) M^{-1}$   
 $= L^{D-1} T^{-2} M^{-1}$

Let's compare this to

$$\left[ \frac{h}{l_p^{D-2}} \right] = L^{-D+2} M L T^{-1} L = L^{-D+4} M T^{-1}$$

So we're close;  $[G^{-1}] = L^{1-D} M T^2$   $\leftarrow$  we have right mass dimensions.

$$\Rightarrow T_{ij} [G^{-1} c^h] = L^{1-D} M T^2 (L T^{-1})^n \\ = L^{1+n-D} M T^{2-n}$$

The dimensions match if  $n=3$

$$\text{so that } [G] = \left[ \frac{L^{D-2} c^3}{\hbar} \right]$$

(Note: particle physicist units:  $\hbar = 1 = c$ .)

It is conventional to set

$$S_{\text{grav}} = \frac{1}{16\pi G_N} \int d^D x \sqrt{-g} (R - 2\Lambda)$$

and

$$S = S_{\text{grav}} + S_{\text{matter}}$$

⊕ The first step to finding the Einstein equation, which connects  $R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R$  to  $T_{\mu\nu}$ , is to

compute  $\frac{\delta S_{\text{grav}}}{\delta g^{\mu\nu}}$ . We will later find that

$$\frac{\delta S_{\text{grav}}}{\delta g^{\mu\nu}} = - \frac{\delta S_{\text{matter}}}{\delta g^{\mu\nu}}$$

⊕ Let's vary  $S_{\text{grav}}$  w.r.t.  $g^{\mu\nu}$  to find eqns of motion

\* "upstairs is easier"

(c.f. upper-class British households with servants ... !)

So... let's do it!

Want  $\delta S = \delta \int d^D x \sqrt{-g} (R - 2\Lambda)$  upon  $\delta g^{\mu\nu}$ .

How do we find  $\delta \sqrt{-g}$ ?

• First of all:  $\delta g^{\mu}_{\lambda} = g^{\mu\nu} \delta g_{\nu\lambda}$  and  $\delta(g^{\mu\lambda}) = 0$  so