

Raychaudhuri's equation

Our Ricci tensor for spacetime is determined by $T_{\mu\nu}$ via Einstein's equations. What about the effect on matter of spacetime curvature?

(1.1a)

Consider a congruence of geodesics

(1.1b)

Set of curves in an open region of spacetime, such that every point in the region lies on precisely one curve.

$$\begin{cases} u^\mu u_\mu = -1 \\ u^\lambda \nabla_\lambda u^\mu = 0 \end{cases}$$

(1.2)

Previously, we found that for the separation vector

$$\frac{DV^\mu}{d\tau} \equiv u^\nu \nabla_\nu V^\mu = B^\mu{}_\nu V^\nu$$

$$\rightarrow \boxed{B^\mu{}_\nu = \nabla_\nu u^\mu}$$

Consider space of vectors \perp and \parallel to u^μ .

(1.3)

- Use projector

$$\boxed{P^\mu{}_\nu = \delta^\mu{}_\nu + u^\mu u_\nu}$$

to get the component of a tensor \perp to u^μ .

- Claim: $B_{\mu\nu}$ is in the \perp subspace.

Proof: $u^\mu B_{\mu\nu} = u^\mu \nabla_\nu u_\mu = 0 \quad \because \quad u^\mu u_\mu = -1 \text{ so } u^\mu \nabla_\nu u_\mu = 0$

and $u^\nu B_{\mu\nu} = u^\nu \nabla_\nu u_\mu = 0$ by geodesic equation.

- $B_{\mu\nu}$ has trace, symmetric traceless, and anti-symmetric components.

Note: Since $B \perp u$, use $P^\mu{}_\nu$ to take the trace!
(Cute mathematical trick / observation)

(1.4)

Define $\Theta \equiv P^\mu{}_\nu B_{\mu\nu} = \boxed{\nabla_\mu u^\mu = \Theta}$ \leftarrow "expansion" of congruence

Then define

$$\sigma_{\mu\nu} = B_{(\mu\nu)} - P_{\mu\nu} \cdot \frac{\theta}{3}$$

there are 3 independent basis vectors in the \perp subspace (not 4!)

(2.1)

i.e.

$$\sigma_{\mu\nu} = \nabla_{(\nu} u_{\mu)} - (\delta^{\mu}_{\nu} + u^{\mu} u_{\nu}) \frac{1}{3} (\nabla_{\lambda} u^{\lambda})$$

↑ "shear" of the congruence

(2.2)

and thirdly define

$$\omega_{\mu\nu} = \nabla_{[\nu} u_{\mu]}$$

"rotation" of the congruence

For evolution along the path,

$$\frac{D}{d\tau} = u^{\sigma} \nabla_{\sigma}$$

Acting on $B_{\mu\nu}$ we have

$$\frac{D}{d\tau} B_{\mu\nu} = u^{\sigma} \nabla_{\sigma} \nabla_{\nu} u_{\mu}$$

$$= u^{\sigma} (\nabla_{\nu} \nabla_{\sigma} u_{\mu} + R^{\lambda}_{\mu\nu\sigma} u_{\lambda})$$

$$= \nabla_{\nu} (u^{\sigma} \nabla_{\sigma} u_{\mu}) - (\nabla_{\nu} u^{\sigma}) (\nabla_{\sigma} u_{\mu}) - R_{\mu\lambda\nu\sigma} u^{\sigma} u^{\lambda}$$

(2.3)

$$= -B^{\sigma}_{\nu} B_{\mu\sigma} - R_{\mu\lambda\nu\sigma} u^{\sigma} u^{\lambda}$$

Tracing over this gives

(2.4)

$$\frac{D\theta}{d\tau} = -\frac{1}{3}\theta^2 - \sigma_{\mu\nu}\sigma^{\mu\nu} + \omega_{\mu\nu}\omega^{\mu\nu} - R_{\mu\nu}u^{\mu}u^{\nu}$$

This is called "RAYCHAUDHURI'S EQUATION"

Other parts (for $\sigma_{\mu\nu}$ and $\omega_{\mu\nu}$) are in Appendix F of Carroll on p.461.

(They don't get used nearly as often as (5-3).)

▷ How about physical consequences?

- Since $\sigma_{\mu\nu}$ and $\omega_{\mu\nu}$ are both spatial tensors, (because $B_{\mu\nu} = \sigma_{\mu\nu} + \omega_{\mu\nu} + \frac{1}{3}P_{\mu\nu}\theta \perp u^\mu \leftarrow$ timelike)

(3.1) $\omega_{\mu\nu}\omega^{\mu\nu} \geq 0$ and $\sigma_{\mu\nu}\sigma^{\mu\nu} \geq 0$.

- (3.2) • If u^μ is orthogonal to a family of hypersurfaces then $(u_{[\mu}\nabla_{\nu]}u_{\rho]} = 0$ and $\omega_{\mu\nu} \equiv 0$.

• Let's also consider

Since $R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi G_N T_{\mu\nu} - \Lambda g_{\mu\nu}$,

(3.3) $\therefore R(1 - \frac{D}{2}) = 8\pi G_N T - \Lambda D$,

$R_{\mu\nu} = \frac{1}{2}g_{\mu\nu} \frac{2}{(2-D)} (8\pi G_N T - \Lambda D) + 8\pi G_N T_{\mu\nu} - \Lambda g_{\mu\nu}$ (D>2) ↓

$= \frac{1}{(2-D)} g_{\mu\nu} 8\pi G_N T - \frac{\Lambda D g_{\mu\nu}}{(2-D)} + 8\pi G_N T_{\mu\nu} - \Lambda g_{\mu\nu}$

(3.4) $= 8\pi G_N (T_{\mu\nu} - \frac{T g_{\mu\nu}}{(D-2)}) + g_{\mu\nu} \Lambda \frac{2}{(D-2)}$

(3.5) $\Rightarrow R_{\mu\nu} u^\mu u^\nu = 8\pi G_N [T_{\mu\nu} u^\mu u^\nu + \frac{T}{(D-2)}] - \frac{2}{(D-2)} \Lambda$

This implies that

- (3.6) if
- $\omega_{\mu\nu} = 0$
 - $T_{\mu\nu} u^\mu u^\nu \geq -\frac{T}{(D-2)} u^\mu u_\nu$ ← (Strong Energy Condition)
 - $\Lambda \leq 0$

then $\frac{d\theta}{d\tau} \leq 0$

and the geodesics converge!

If $\Lambda > 0$ this helps push geodesics apart, which happens owing to (accelerated) expansion.

ENERGY CONDITIONS

(4.1) For a perfect fluid, $T_{\mu\nu}^{(p.f.)} = (\rho + p)u_{\mu}u_{\nu} + pg_{\mu\nu}$

Pressure is isotropic so $T_{\mu\nu}t^{\mu}t^{\nu} \geq 0 \quad \forall$ timelike t^{μ}
 if both $T_{\mu\nu}u^{\mu}u^{\nu} \geq 0$
 and $T_{\mu\nu}l^{\mu}l^{\nu} \geq 0 \quad \exists$ null vector l^{μ} .

Regard $\begin{cases} T_{\mu\nu}u^{\mu}u^{\nu} = \rho \\ T_{\mu\nu}l^{\mu}l^{\nu} = (\rho + p)(u_{\mu}l^{\mu})^2 \end{cases}$

so we've just said $T_{\mu\nu}t^{\mu}t^{\nu} \geq 0 \Rightarrow \rho \geq 0$ & $(\rho + p) \geq 0$.

This is called the Weak Energy Condition.
 others include (& perfect fluid examples :-)

(4.2) • WEC: weak energy condition
 $T_{\mu\nu}t^{\mu}t^{\nu} \geq 0 \quad \forall t^{\mu}$ timelike vectors
 ($\rho \geq 0$ and $\rho + p \geq 0$)

(4.3) • NEC: null energy condition
 $T_{\mu\nu}l^{\mu}l^{\nu} \geq 0 \quad \forall l^{\mu}$ null vectors
 ($\rho + p \geq 0$; note that here p can be < 0)

(4.4) • DEC: dominant energy condition
 $T_{\mu\nu}t^{\mu}t^{\nu} \geq 0 \quad \forall t^{\mu}$ timelike AND $T_{\mu\nu}T^{\nu}_{\lambda}t^{\mu}t^{\lambda} \geq 0$
 i.e. $T^{\mu\nu}t_{\mu}$ non-spacelike. ($\rho \geq |p|$).

(4.5) • NDEC: null dominant energy condition
 $T_{\mu\nu}l^{\mu}l^{\nu} \geq 0 \quad \forall l^{\mu}$ null vector AND $T^{\mu\nu}l_{\mu}$
 Same as DEC but allows $p = -\rho$. } non-spacelike.

(4.6) • SEC: strong energy condition
 $T_{\mu\nu}t^{\mu}t^{\nu} \geq [(D-2)/2] T^{\lambda}_{\sigma}t^{\sigma}t_{\lambda} \quad \forall t^{\mu}$ timelike
 ($\rho + p \geq 0$ and $3\rho + p \geq 0$)

(gravity attractive!)

Cosmological Constant / Dark Energy

An especially interesting type of "matter" (so-called) comes from $\Lambda \neq 0$. Recall that

(5.1) $R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = -\Lambda g_{\mu\nu} + T_{\mu\nu} (8\pi G_N)$

(5.2) If we regard $-\Lambda g_{\mu\nu} = T_{\mu\nu}^{(\Lambda)} \cdot 8\pi G_N$

then it has some unfamiliar properties, e.g.

(5.3) $8\pi G_N T^{\lambda}_{\lambda} = -\Lambda D < 0$ (if $\Lambda > 0$!)

(5.4) and $T_{\mu\nu}^{(\Lambda)} = -\frac{\Lambda}{8\pi G_N} g_{\mu\nu}$

(5.5) Comparing to a perfect fluid $T_{\mu\nu}^{(p.f.)} = (p+\rho)u_{\mu}u_{\nu} + pg_{\mu\nu}$ in rest frame where $(u^{\mu}) = (1, 0, 0, 0) \sqrt{-g_{00}}$,

(5.6) we have $(T^{\mu}_{\nu})^{(p.f.)} = \text{diag}(-\rho, p, p, p)$

so $T^{\mu}_{\nu}^{(\Lambda)} = -\frac{\Lambda}{8\pi G_N} (\delta^{\mu}_{\nu})$ so

(5.7) $p = -\rho$ for cosmological constant
= constant

Problems: • Λ estimated from particle physics \neq is very far off from experiment 😞

EXCITEMENT

• Not known what is composition of dark energy \neq

\neq $[\Lambda] = m^4$; so $\Lambda \sim k_{\mu\nu}^4 \sim \frac{1}{l_{\text{UV}}^4} \sim m_{\text{pl}}^4$
 $\sim (10^{19} \text{ GeV})^4$

\neq Expt: $\Lambda \sim (10^{-12} \text{ GeV})^4$



Alternative theories of gravity

Einstein gravity is well-tested, but not religion!

We could have in addition

- a non-Christoffel connection;
- higher-order R invariants in S_{grav} ;
- gravitational scalar fields;
- extra dimensions of space; (etc!)

Scalar-tensor theory*

(6.1)
$$S_{\text{grav}}[\lambda, g_{\mu\nu}] = \int d^Dx \sqrt{-g} \left\{ f(\lambda) R_g - \frac{1}{2} h(\lambda) g^{\mu\nu} \partial_\mu \lambda \partial_\nu \lambda - U(\lambda) \right\}$$

How does changing the gravitational action affect the equations of motion for $g_{\mu\nu}$?

See e.g. Carroll pp 182-3 to derive

(6.2)
$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = \frac{1}{f(\lambda)} \left[\frac{1}{2} T_{\mu\nu}^{(\text{matter})} + \frac{1}{2} T_{\mu\nu}^{(\lambda)} + \nabla_\mu \nabla_\nu f - g_{\mu\nu} \square f \right]$$

where

(6.3)
$$T_{\mu\nu}^{(\lambda)} = h(\lambda) \nabla_\mu \lambda \nabla_\nu \lambda - g_{\mu\nu} \left[\frac{1}{2} h(\lambda) (\nabla \lambda)^2 + U(\lambda) \right]$$

and

(6.4)
$$h \square \lambda + \frac{1}{2} h' g^{\mu\nu} \nabla_\mu \lambda \nabla_\nu \lambda - U' + f' R = 0 \quad (' \equiv \frac{d}{d\lambda})$$

The story can be rewritten with

(6.5)
$$\tilde{g}_{\mu\nu} = 16\pi \tilde{G} f(\lambda) g_{\mu\nu} \quad (\text{"Jordan frame"})$$

whence

(6.6)
$$S = \int d^Dx \frac{\sqrt{-\tilde{g}}}{16\pi \tilde{G}} \left[R_{\tilde{g}} - \frac{3}{2} \tilde{g}^{\rho\sigma} \left(\frac{f'}{f} \right)^2 \tilde{\nabla}_\rho \lambda \tilde{\nabla}_\sigma \lambda \right]$$

* Brans-Dicke for $f = \frac{\lambda}{16\pi}$, $h = \frac{\omega \kappa}{8\pi \lambda}$, $U(\lambda) = 0$

Extra dimensions

Suppose gravity actually spread out in 5 dimensions, rather than 4. Then

$$(7.1) \quad S_{\text{grav}} = \frac{1}{16\pi G_N} \int d^5x \sqrt{-g} \tilde{R}_g$$

can be rewritten in terms of $(\{\alpha\} = \{\alpha, I\})$

Taking the metric

$$(7.2) \quad ds^2 = e^{2\alpha\hat{\chi}} d\hat{s}^2 + e^{2\beta\hat{\chi}} \left(dz + \hat{A}_\mu dx^\mu \right)^2, \quad (2.42)$$

with $\beta = (2 - D)\alpha$ and $\alpha^2 = 1/[2(D - 1)(D - 2)]$ [33] gives

$$\sqrt{-g} R_g = \sqrt{-\hat{g}} \left(R_{\hat{g}} - \frac{1}{2} (\partial\hat{\chi})^2 - \frac{1}{4} e^{-2(D-1)\alpha\hat{\chi}} F^2 \right), \quad (2.43)$$

where F is the field strength of \hat{A} .

Higher-derivative gravity

$$(7.3) \quad S_g = \int d^Dx \sqrt{-g} \left(c_1 + c_2 R + c_3 R^2 + c_4 R^{\mu\nu} R_{\mu\nu} + c_5 g^{\mu\nu} \nabla_\mu R \nabla_\nu R \right)$$

We know $c_2 \sim \frac{1}{l_p^{d-2}}$; dimensionally, higher-derivative terms must be down by powers of l_p .

(7.4) So extra terms above affect physics only when $\frac{R}{l_p^2} \gtrsim 1$, etc.

Classical theory no good there, however; ☹️
also, higher-derivative theories at any finite order in derivatives have propagating ghosts (negative-norm states !)

String theory

Etc. 😊