

SUPERSTRING THEORIES

 (2 §12.5)

Last time, we finished a two-lecture exposition of basic ideas involved in quantizing (bosonic) strings. In particular, we met concepts of:-

- (1.1) • promoting (X^I, P^{IJ}) to operators, with CCRs
 $[X^I(\sigma), P^{IJ}(\sigma')]_{\tau=\tau'} = i\eta^{IJ} \delta(\sigma-\sigma')$
- (1.2) • seeing α_n^I as $\sqrt{n} \times$ (creation op. for n^{th} mode, a_n^{\dagger})
 • calculating \perp Virasoro generators
 $L_0^{\perp} = \alpha' p^I p^I + \sum_{p=1}^{\infty} p (a_p^I)^{\dagger} a_p^I$

(1.3) with algebra $[L_m^{\perp}, L_n^{\perp}] = (m-n) L_{m+n}^{\perp} + \frac{(D-2)}{12} (m^3 - m) \delta_{m+n,0}$

- (1.4) • Lorentz generators close ($[M^{-I}, M^{-J}] \rightarrow M^{\text{'s}}$)
 $\Rightarrow \frac{(D-2)}{24} = 1$ and so $H = L_0^{\perp} - 1$

- Tachyon, gauge field A_{μ} at $m^2 \leq 0$ (open)
- Tachyon, graviton $g_{\mu\nu}$, 2-form $B_{[\mu\nu]}$, dilaton Φ (closed)

Today, in part I of lecture, I will give a brief exposition of what changes when we add fermionic degrees of freedom. It will not be possible to be a great deal more detailed, because of time constraints and because this is an introductory course, but I will develop some of the more powerful ideas in the last couple of lectures or so. 😊

So, what's the basic idea? SUSY says:-

- (1.5) • Partner every boson field, like $X^I(\tau, \sigma)$ with a fermion field of the same mass, like
 $\psi_{\alpha}^I(\tau, \sigma)$, $\alpha = 1, 2$

This field does not satisfy canonical commutation

relations, but rather canonical anticommutation relations; ψ is a fermion field. Classically, ψ is an anticommuting field, e.g. two fermions b_1, b_2 obey $b_1 b_2 = -b_2 b_1$. For this reason, you can NOT build up big coherent states of fermion fields — physically this is encoded in the Pauli Exclusion Principle (PEP): no two fermions can occupy the same quantum state. This makes fermions completely unlike photons or gravitons, for example. ②

• Status of ψ_α^I under Lorentz transformations?

Very non-trivial issue: ψ_α^I has a vector spacetime index to start with, as well as spin-half properties in the QFT of the string worldsheet: i.e. it is a worldsheet spinor. How do we square that fact with developing a spacetime supersymmetry?

⊕ This is the "magic" of light-front quantization in which $\psi_\alpha^+ = 0$ allows solving for ψ_α^- in terms of transverse ψ_α^I ; along the way ψ_α^I actually get transmogrified by quantization into a spacetime spinor. (This is REALLY COOL!)

⊕ ψ_α^I because it is a spacetime vector, gets transformed by $M^{\mu\nu}$ and hence extra fields (ψ) get involved in canceling anomalous terms in $[M^{\pm}, M^{\pm}]$. In addition, there are new fermionic generators Q which extend the Lorentz algebra in a fermionic direction.

The consistency conditions now read

(2.1) $D=10$ (superstring) (rather than $D=26$ for bosonic case: we had $a=-1$)

(2.2) and $a = -\frac{1}{2}$

Equations of motion for ψ_α^I are first-order. (Massless) fermions obey wave equation \Rightarrow

(3.1a) ψ_1^I is right-moving $[(\partial_\tau - \partial_\sigma)\psi_1^I = 0]$

(3.1b) and ψ_2^I is left-moving $[(\partial_\tau + \partial_\sigma)\psi_2^I = 0]$

This means that we have two on-shell degrees of freedom; these partner up to X_L, X_R in $X^I = X_R^I(\tau - \sigma) + X_L^I(\tau + \sigma)$ (oscillators, all included).

OPEN STRING

(3.2) $\psi_1^I(\tau, \sigma) = \psi_1^I(\tau - \sigma), \psi_2^I(\tau, \sigma) = \psi_2^I(\tau + \sigma)$

Endpoints must satisfy requirement from action principle that

$\psi_1^I(\tau, \sigma_*) \delta\psi_1^I(\tau, \sigma_*) - \psi_2^I(\tau, \sigma_*) \delta\psi_2^I(\tau, \sigma_*) = 0$ at endpoints.

(3.3) Ansatz require $\psi_1^I(\tau, \sigma_*) = \pm \psi_2^I(\tau, \sigma_*)$ and then $\delta\psi$ also satisfy this relation.

(3.4a) Convention: $\psi_1^I(\tau, 0) = \oplus \psi_2^I(\tau, \pi) \leftarrow \text{"RAMOND"}$
 (3.4b) and $\therefore \psi_1^I(\tau, \pi) = \ominus \psi_2^I(\tau, \pi) \leftarrow \text{"NEVEU-SCHWARZ"}$

(Nothing to do with behaviour of spinor fields under rotations!)

Can simplify by assembling dynamical (open-string) field

(3.5) $\Psi_{open}^I(\tau, \sigma) = \begin{cases} \psi_1^I(\tau, \sigma), & \sigma \in [0, \pi] \\ \psi_2^I(\tau, -\sigma), & \sigma \in [-\pi, 0] \end{cases};$

Ψ^I continuous at $\sigma=0$ by (3.1a, b). (✓)

then NS/R condition says

(3.6) $\Psi^I(\tau, \pi) = \pm \Psi^I(\tau, -\pi) \Leftrightarrow \begin{cases} R \text{ periodic} \\ NS \text{ anti-periodic} \end{cases}$

Neveu-Schwarz sector (quantum theory)

$\Psi^I(\tau, \sigma)$ are HALF-integrally moded fields:

(4.1)
$$\Psi^I(\tau, \sigma) = \sum_{r \in \mathbb{Z} + \frac{1}{2}} b_r^I e^{-ir(\tau - \sigma)} \quad (NS)$$

$b_{-1/2+n}^I$, $n \in \mathbb{Z} \cup \{0\}$ are creation operators
and b_r^I anticommute so e.g. $(b_{\pm}^I)^2 = 0$

(4.2)
$$\Rightarrow \text{Oscillating modes with basis}$$

$$\underline{NS}: \prod_{I=2}^9 \prod_{n=1}^{\infty} (\alpha_{-n}^I)^{\lambda_{n,I}} \prod_{I=2}^9 \prod_{r=1/2, 3/2, 5/2, \dots} (b_{-r}^I)^{\rho_{r,I}} |NS\rangle \otimes |p^+, \vec{p}_T\rangle$$

Ramond sector

(4.3)
$$\Psi^I(\tau, \sigma) = \sum_{n \in \mathbb{Z}} d_n^I e^{-in(\tau - \sigma)} \quad (R)$$

Zero modes have to be treated; d_0^I can be arranged (à la $d_0^1 \pm i d_0^2$; etc) into four complex creation/annihilation operators with algebra.

(4.4)
$$\Rightarrow 2^4 = 16 \text{ degenerate R groundstates } \{|R^A\rangle, A=1, \dots, 16\}$$

and
 (4.5)
$$\underline{R}: \prod_{I=2}^9 \prod_{n=1}^{\infty} (\alpha_{-n}^I)^{\lambda_{n,I}} \prod_{I=2}^9 \prod_{m=1}^{\infty} (d_{-m}^I)^{\rho_{m,I}} |R^A\rangle \otimes |p^+, \vec{p}_T\rangle$$

Open string state space

Take subset of NS sector + subset of R sector
(consistent truncation!)

(4.6)
$$\boxed{NS : \text{odd fermion \#}}$$

$$\uparrow$$

$$\text{GSO projection}$$

All states have same # fermion oscillators, mod 2.



(5.1) Ramond ?

$$\underline{R}: \left\{ (d\text{-m's on}) |R_1^a\rangle \text{ with even } \# d_{-n}^{\pm} \right\} \oplus \left\{ (d\text{-m's on}) |R_2^a\rangle \text{ with odd } \# d_{-m}^{\pm} \right\}$$

Spacetime

$$GSO \Rightarrow \left\{ \begin{array}{l} \text{fermions from R sector} \\ \text{bosons from NS sector} \end{array} \right\} \text{ open strings}$$

$$\{A^{\mu}\} \leftrightarrow \bar{b}_{-1/2}^{\pm} |NS\rangle \otimes |p^+, \vec{p}_T\rangle$$

$$\alpha' M^2 = -\frac{1}{2} + N^L \quad (NS)$$

$$\alpha' M^2 = 0 \quad (R)$$

$$\{\lambda_{\alpha}\} \leftrightarrow |R_1^a\rangle \otimes |p^+, \vec{p}_T\rangle$$

(8 cpts by GSO)

gaugino; A^{μ} and λ_{α} have eight components on-shell (physical)

CLOSED STRING

$$\textcircled{L}: \left\{ \begin{array}{l} |NS\rangle_L \\ |R\rangle_L \end{array} \right\} ; \quad \textcircled{R}: \left\{ \begin{array}{l} |NS\rangle_R \\ |R\rangle_R \end{array} \right\}$$

⇒ four types of closed superstring states.

GSO projection :

$$\left\{ \begin{array}{l} \text{odd } \# \text{ fermionic oscillators on } |NS\rangle \\ \text{and either } \left\{ \begin{array}{l} \text{even } \# \text{ on } |R_1^a\rangle \\ \text{or } \text{odd } \# \text{ on } |R_2^a\rangle \end{array} \right. \end{array} \right\}$$

This gives spacetime susy.
(Other projections don't!)

$$g_{\mu\nu}, B_{(2)}, \Phi \text{ from } \bar{b}_{-1/2}^{\pm} \bar{b}_{-1/2}^{\mp} |NS\rangle_L \otimes |NS\rangle_R \otimes (p^+, \vec{p}_T)$$

(6.1)

$$R-NS + NS-R \iff \text{spacetime fermions}$$

$$b_{-1/2}^{\mu} |NS\rangle_L \otimes |R^b_j\rangle_R \otimes (p^+, \vec{p}_T)$$

$$b_{-1/2}^{\mu} |R^a_i\rangle_L \otimes |NS\rangle_R \otimes (p^+, \vec{p}_T)$$

128 of them: $2 \times (8 \times 8)$ $i=1 \dots 8$

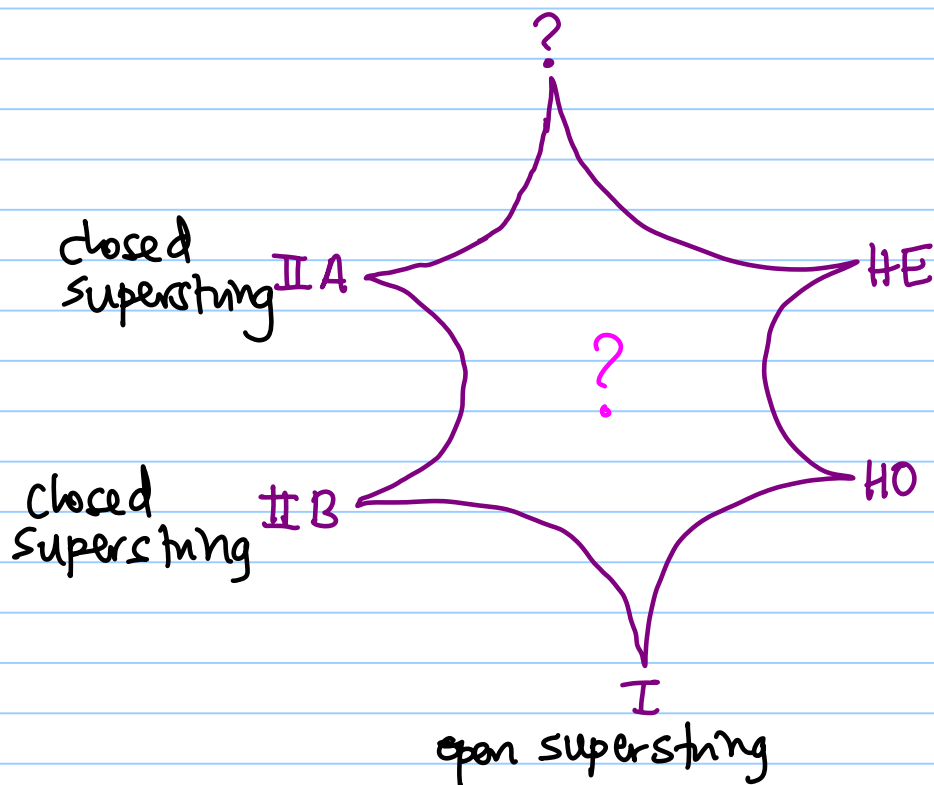
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(6.2)

$$R-R \iff \text{extra bosonic fields}$$

$A_{[n]}$, n odd	IIA (non-chiral)
$A_{[m]}$, m even	IIB (chiral)

Other theories with SUSY



heterotic
 (half superstring,
 half boson;
 extra fields \rightarrow
 gauge bosons:
 consistency
 (anomaly
 cancellation)
 \Rightarrow rank = 496.
 $E_8 \times E_8$ or $SO(32)$
 dspace time still = 10
 (both L & R modes
 available).

D-BRANES & GAUGE FIELDS (2 §14)

⑦

Dirichlet BC on endpoints rather than N , for $(D-p)$ coords, led to hypersurfaces of p dimensions, called p -branes. spacetime on them has $d=p+1$.

(7.1) \Rightarrow

$$X^a(\tau, 0) = \bar{x}^a = X^a(\tau, \pi) \text{ "DD"}, \quad a=p+1, \dots, D-1$$

$$X^m(\tau, 0) = 0 = X^m(\tau, \pi) \text{ "NN"}, \quad m=0, \dots, p$$

⊗ For quantization, everything we previously derived goes through very simply for NN. In particular, X^- is a NN coordinate.

(7.2) Then

$$\dot{X}^i \pm X^{i'} = \sqrt{2\alpha'} \sum_{n \in \mathbb{Z}} \alpha_n^i e^{-in(\tau \pm \sigma)} \quad (\text{NN})$$

Zwiebach simply explains what differs for DD coords on p. 278. The outcome of his math is

(7.3) (DD)

$$X^a(\tau, \sigma) = \bar{x}^a + \sqrt{2\alpha'} \sum_{n \neq 0} \frac{1}{n} \alpha_n^a e^{-in\tau} \sin(n\sigma)$$

no zero mode here.

Contrast this to NN coords which have term in $(CM \text{ momentum}) \times \tau$, encoding CM motion. Dirichlet BCs tie down our D-branes' CM. to be \bar{x}^a , $\partial_\tau \bar{x}^a = 0$.

(7.4) \Rightarrow

$$X^{a'} \pm i\dot{X}^a = \sqrt{2\alpha'} \sum_{n \neq 0} \alpha_n^a e^{-in(\tau \pm \sigma)} \quad (\text{DD})$$

note relative \pm sign to NN case, $\neq n \neq 0$.

DD mode quantization

(7.5)

$$[X^a(\tau, \sigma), \frac{\dot{X}^b(\tau, \sigma')}{2\pi\alpha'}] = i\delta^{ab} \delta(\sigma - \sigma') \quad (\text{DD})$$

(8.1) and $\boxed{[\alpha_m^a, \alpha_n^b] = m \delta^{ab} \delta_{m+n, 0}}$

(8.2) then $\boxed{2p^+ p^- = \frac{1}{\alpha'} \left\{ \alpha' p^i p^i + \sum_{n=1}^{\infty} [\alpha_{-n}^i \alpha_n^i + \alpha_{-n}^a \alpha_n^a] - 1 \right\}}$

(8.3) \neq Since only the \emptyset mode structure changed, same critical dimension.

and then

(8.4) $\boxed{\alpha' M^2 = -1 + \sum_{n=1}^{\infty} \sum_{i=2}^p n (\alpha_n^i)^{\dagger} \alpha_n^i + \sum_{m=1}^{\infty} \sum_{a=p+1}^{D-1} m (\alpha_m^a)^{\dagger} \alpha_m^a}$

\Rightarrow states

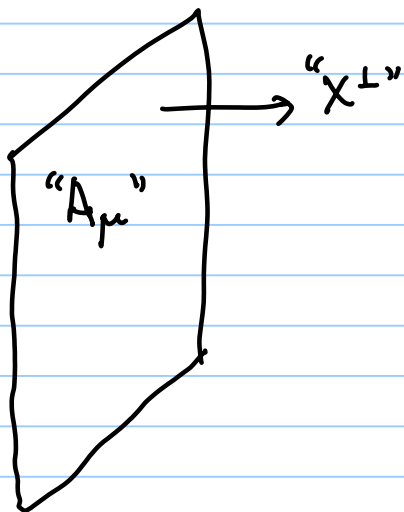
(8.5) $\boxed{\prod_{n=1}^{\infty} \prod_{i=2}^p (\alpha_n^i)^{\dagger} \alpha_n^i \prod_{m=1}^{\infty} \prod_{a=p+1}^{D-1} (\alpha_m^a)^{\dagger} \alpha_m^a |p^+, \vec{p}_T\rangle}$

Since there is still [a tachyon and] a massless vector field, we conclude

(8.6) $\boxed{\text{A } D_p\text{-brane has a Maxwell field living on its worldvol.} + \text{a massless scalar for each } \perp \text{ dir.}}$

and

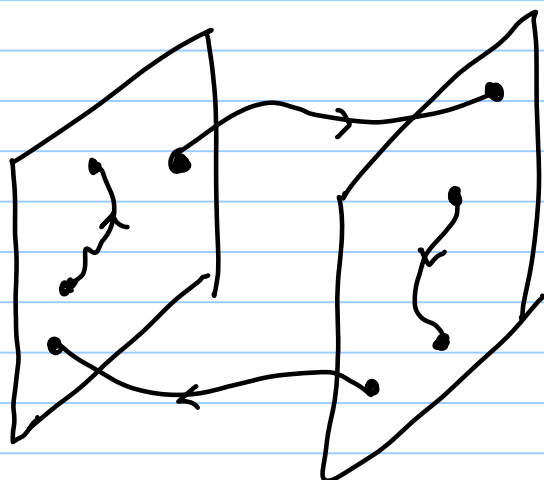
These correspond to motions of the D-brane in \perp directions.



A_{μ} tell us about least expensive 'ripples' on the worldvolume.

Note: D25 can't be moved \odot has no X^{\perp} \odot

More than one D-brane.



for this case there are four sectors of fields:
(when open string worldsheet supports orientability.)

What changes?

DD coords have $X^a(\tau, 0) = \bar{x}_1^a$ but $X^a(\tau, \pi) = \bar{x}_2^a \neq \bar{x}_1^a$

So compute mode expansion & M^2 using same algorithm as before, but this time

$$\alpha' M^2 = (N^2 - 1) + \left(\frac{\bar{x}_2^a - \bar{x}_1^a}{2\pi\alpha'} \right)^2$$

But recall: tension of F1 is $\frac{1}{2\pi\alpha'}$.

So extra piece in M^2 is just (length of stretched string) \times (tension) 😊.

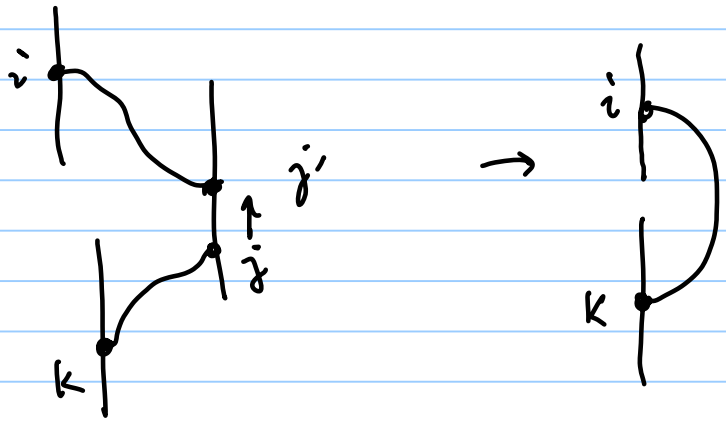
Construct ground states distinguished by label $[ij]$ according to which brane start & end upon.

Then have $|p^+, \vec{p}_T; [ij]\rangle$ $i, j \in \{1, 2\}$

Oscillator structure exactly same $\forall i, j$

We will see later in more detail that Chan-Paton factors carrying \underline{n} of $U(n)$ for n D-branes can be tacked onto open-string endpoints without costing energy.

n^2 independent fields.



so $[i j] * [j k] = [i k]$

\Rightarrow well-defined (matrix) multiplication

The really amazing thing is that, by calculating further, we can discover that

Higgsing the $U(N)$ \leftrightarrow separating D-branes! ∇

Next time: we'll talk about

D_p branes and D_q -branes together & //;
 $D_p \perp D_q (n)$, and so forth. ☺