

PHONONS

Phonons are modes of crystal lattice vibrations. They are particles just like photons, but with two differences.



- (1) In a crystal of N atoms, there are
3 ways to vibrate - 2 transverse
- 1 longitudinal
 \Rightarrow $3N$ modes in total

(This is different than for photons which can have an arbitrarily large # !)

- (2) There are 3 polarizations not 2.

* What is the dispersion relation?

The crystal's atoms are essentially a bunch of harmonic oscillators, to a good approximation.

For the r -th atom
there's a restoring force

$$F = -\alpha(u_{r+1} - u_r) - \alpha(u_r - u_{r-1})$$
$$= M \frac{d^2 u_r}{dt^2}$$

This is a difference equation which has
travelling wave solutions

$$u_r = e^{-i\omega t} e^{i(r)k a}$$

↑
lattice spacing

The result is

$$\omega = 2\sqrt{\frac{\alpha}{M}} \left| \sin\left(\frac{ka}{2}\right) \right|$$

which for low frequencies goes over to

$$\omega = (\text{const.}) k \quad \left(k = \frac{2\pi}{\lambda}\right)$$

↑
speed of sound. $\equiv v$

This is the dispersion relation for massless
particles !!

⇒ Phonons are almost like photons except

- (a) 3 polarizations
- (b) c is speed of sound
- (c) total # phonons is capped at $3N$.

Using our results for photons,
we know that

$$\sum_n \rightarrow \frac{1}{8} \int_0^{n_{\max}} 4\pi n^2 dn \times 3$$

$$= \# \text{ of modes} = 3N$$

So we need

$$\frac{3\pi}{2} \int_0^{n_{\max}} n^2 dn = 3N$$

$$\Rightarrow \frac{\pi}{2} n_{\max}^3 = 3N$$

Customarily called n_D by $n_D \equiv n_{\max}$

$$\Rightarrow \boxed{n_D = \left(\frac{6N}{\pi}\right)^{1/3}}$$

Now let's calculate the energy.

$$U = \sum_n \langle E_n \rangle = \sum_n \frac{\hbar \omega_n}{(e^{\hbar \omega_n / \tau} - 1)}$$

$$\Rightarrow \int_0^{n_D} \frac{3\pi}{2} n^2 dn \frac{\hbar \omega_n}{(e^{\hbar \omega_n / \tau} - 1)}$$

What is $\omega_n(n)$?

For photons, $\omega_n = kc\pi/L$. Here, $\omega_n = \frac{\hbar n \pi c}{L}$.

$$\Rightarrow U = \frac{3\pi^2 \nu \hbar}{2L} \int_0^{n_D} dn \frac{n^3}{e^{\hbar c \pi / L (n/\tau)} - 1}$$

So

$$U = \frac{3\pi^2 \hbar \nu}{2L} \left(\frac{L}{\pi \hbar \nu} \right)^4 \int_0^{\omega_D} d\omega \frac{\omega^3}{e^{\omega} - 1}$$

with $\omega_D = \frac{\pi \hbar \nu n_D}{L}$ (the cutoff modes makes an appearance!)

definition Debye temperature θ defined by

$$\omega_D = \frac{\pi \hbar \nu n_D}{L} \equiv \frac{k_B \theta_D}{\tau}$$

i.e. $\theta_D = \frac{\pi \hbar \nu}{k_B L} \left(\frac{6N}{\pi} \right)^{1/3}$

$$\boxed{\theta_D = \left(\frac{\hbar \nu}{k_B} \right) \left(\frac{6\pi^2 N}{V} \right)^{1/3}} \quad \therefore \frac{1}{\theta_D^3} = \frac{k_B^3}{\hbar^3 \nu^3} \frac{V}{6\pi^2 N} \quad (*)$$

We can evaluate the integral if $\tau \ll k_B \theta_D$
because then effectively $\omega_D \rightarrow \infty$

then $U(T) \underset{T \ll \theta_D}{\approx} \frac{3}{2} \frac{\pi^2}{15 \hbar^3 \nu^3} V \tau^4 = \frac{\pi^2}{10 \hbar^3 \nu^3} V k_B^4 T^4$

(*) $\Rightarrow \frac{\pi^2 k_B^4 T^4}{10 \hbar^3 \nu^3} V = \frac{\pi^2 k_B T^4}{10} \left(\frac{6\pi^2 N}{\theta_D^3} \right)$

$$\boxed{U = \frac{3\pi^4}{5} N k_B T \left(\frac{T}{\theta_D} \right)^3} \quad T \ll \theta_D$$

Heat capacity @ constant V ?

$$C_V = \left(\frac{\partial U}{\partial T}\right)_V \quad \text{in "unnatural units" :)$$

$$U = \frac{3\pi^4 N}{5} \frac{T^4}{(k_B \theta_D)^3}$$

$$\Rightarrow \left(\frac{\partial U}{\partial T}\right)_V = \boxed{\frac{12\pi^4 N k_B}{5} \left(\frac{T}{k_B \theta_D}\right)^3 = C_V}$$

$T \ll k_B \theta_D$

"Debye T^3 Law"

• High-temperature limit? (KK 4.11)

Suppose instead $\omega_D \ll 1$. Then we're interested in evaluating

$$\int_0^{\omega_D} d\omega \frac{\omega^3}{(e^{\hbar\omega} - 1)} \quad \text{with} \quad \omega_D = \frac{\pi \hbar v}{L} \omega_D \ll 1$$

For small ω , the integrand is $\approx \frac{\omega^3}{1 + \hbar\omega} \approx \omega^2$

$$\begin{aligned} \Rightarrow U &\approx \frac{3\pi^2 \hbar v}{2L} \left(\frac{TL}{\pi \hbar v}\right)^4 \frac{\omega_D^3}{3} \\ &= \frac{V T^4}{2\pi^2 (\hbar v)^3} \left(\frac{\theta_D}{T}\right)^3 = \frac{V k_B T}{2\pi^2} \frac{6\pi^2 N}{V} = 3N k_B T \end{aligned}$$

$$\Rightarrow \boxed{C_V = 3N k_B}$$

$T \gg k_B \theta_D$