



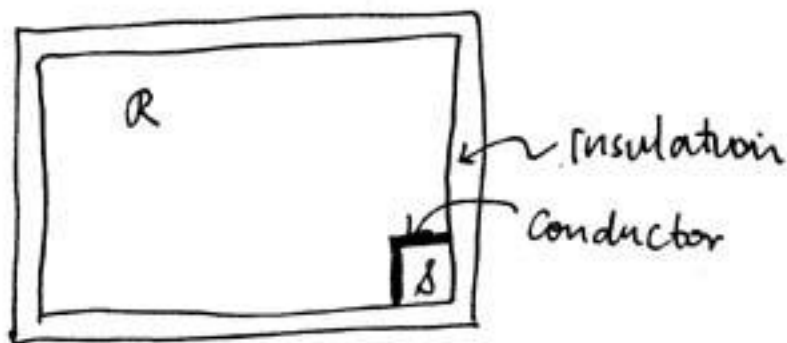
Suppose we are interested in a system  $S$ , much smaller than the reservoir  $R$  but still with a macroscopic # of particles.

\* Let's ask a different question than the ones we've asked so far:

If we want to know how likely we are to find  $S$  in state 1 with energy  $\epsilon_1$  as compared to state 2 " "  $\epsilon_2$ , what's the relative probability?

- The difference I emphasize here is that we're specifying precisely which quantum state the system  $S$  is in!

OK. Picture:



$R$  has energy  $U_0 - \epsilon_1$  } if  $S$  is in  
 $S$   $\epsilon_1$  } state 1.

Similarly if  $S$  is in state 2 ( $\epsilon_1 \rightarrow \epsilon_2$ ).

Probabilities for the whole-banana system  
 $R+S$  are normalized to unity

$\Rightarrow$  we can just use the ratio of degeneracies

(we don't need to keep an overall factor  $\frac{g_{tot}=1}{g_{tot}}$ )

$$\Rightarrow \frac{P(1)}{P(2)} = \frac{[g_R(U_0 - \epsilon_1) \cdot 1]}{[g_R(U_0 - \epsilon_2) \cdot 1]}$$

$g_{tot} = g_R + g_S$   
 $\downarrow$   
 $g_S$

$\leftarrow$  state of  $S$  is specified precisely in either state 1 or 2.

Now, let's phrase this as an entropy ratio:

(KK p 61 footnote explains the math reason why)

$$\frac{P(1)}{P(2)} = \frac{\exp(\sigma_R(U_0 - \epsilon_1))}{\exp(\sigma_R(U_0 - \epsilon_2))} = \exp[\sigma_R(U_0 - \epsilon_1) - \sigma_R(U_0 - \epsilon_2)]$$

where we used  $\sigma = \log g$  to get  
 $g = \exp(\sigma)$ .

• Physics: Since  $R$  is huge,

$\exp(\sigma_R(U_0 - \epsilon_1))$  is not much different than  
 $\exp(\sigma_R(U_0))$ , because  $|\frac{\epsilon_1}{U_0}| \ll 1$ .

Math: Let's expand  $\sigma$  in a Taylor series  
 about  $\epsilon_{1,2} = 0$ .

For any nicely behaved function,

$$f(x+a) = f(x) + \left(\frac{\partial f}{\partial x}\right)_{a=0} a + \frac{1}{2!} \left(\frac{\partial^2 f}{\partial x^2}\right)_{a=0} a^2 + \dots$$

Here, we will only need the first derivative term

(and since  $\sigma_R$  depends on more variables usually than just  $U$  we need partial deriv.)

$$\Rightarrow \sigma_R(U - \epsilon_i) = \sigma_R(U) + \left(\frac{\partial \sigma_R}{\partial U_{N,V}}\right) (-\epsilon_i) + \mathcal{O}(\epsilon_i^2)$$

$\Rightarrow$  Then by the definition of fundamental temperature  $\tau$

$$\left[ \sigma_R(U_0 - \epsilon_1) - \sigma_R(U_0 - \epsilon_2) \right] = 0 - \epsilon_1 \left(\frac{1}{\tau}\right) + \epsilon_2 \left(\frac{1}{\tau}\right)$$

(the  $\mathcal{O}(\epsilon_i^2)$  terms go to zero as  $N \rightarrow \infty$  by comparison)

$$\Rightarrow \frac{P(1)}{P(2)} = \exp\left(-\frac{\epsilon_1}{\tau} + \frac{\epsilon_2}{\tau}\right)$$

or

$$\boxed{\frac{P(1)}{P(2)} = \frac{\exp(-\epsilon_1/\tau)}{\exp(-\epsilon_2/\tau)}}$$

The factor  $\exp(-\epsilon/\tau)$  is called the Boltzmann factor.

This  $\uparrow$  story will be VERY useful later on

We derived for a system  $S$  in thermal contact with a reservoir  $R$  at fundamental temperature  $\tau$  the relative probabilities of  $S$  being in a particular state 1 vs state 2

$$\frac{P(1)}{P(2)} = \frac{\exp(-\epsilon_1/\tau)}{\exp(-\epsilon_2/\tau)}$$

i.e. probability  $P \propto \exp(-\epsilon/\tau)$

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## PARTITION FUNCTION

This is a very useful object that we can use to compute thermodynamically interesting quantities

$$\otimes \quad Z(\tau) = \sum_s e^{-\epsilon_s/\tau}$$

This is the sum over all states  $s$  of the system of the Boltzmann factor

unnormalized  $\uparrow$  probability of being in a state  $s$

Probability of being in state  $s$  in particular is

$$P(\epsilon_s) = \frac{\exp(-\epsilon_s/\tau)}{Z} \Rightarrow \sum_s P(\epsilon_s) = 1 \quad \checkmark$$

Notation:  $\epsilon_s$  = energy of state  $s$

$U = \langle \epsilon \rangle \equiv$  average energy of system

↑  
average computed in the  
thermal (canonical) ensemble.

$$U = \sum_s P(\epsilon_s) \epsilon_s$$

↙ probability  
of being in  
state  $s$

↖ energy  
of  
state  $s$

\* Now, a game we will often play is to use  $Z$  to compute interesting things.

Let's consider

$$\frac{\partial Z}{\partial \tau} = \frac{\partial}{\partial \tau} \sum_s e^{-\epsilon_s/\tau}$$

$$= \sum_s e^{-\epsilon_s/\tau} \left( \frac{+\epsilon_s}{\tau^2} \right)$$

$$= \frac{1}{\tau^2} \sum_s \epsilon_s e^{-\epsilon_s/\tau} = \frac{1}{\tau^2} \underbrace{\sum_s \epsilon_s \left( \frac{e^{-\epsilon_s/\tau}}{Z} \right)}_U \cdot Z$$

$$\text{So } U = \tau^2 \frac{\partial Z}{\partial \tau} \frac{1}{Z} = \tau^2 \frac{\partial \log Z}{\partial \tau}$$

A quantity often useful is the heat capacity @ constant volume

$$C_V \equiv \left( \frac{\partial U}{\partial \tau} \right)_V$$

## Application to 2-state system

\* Partition function?

Let one state have zero energy, &  
"second" " " energy  $\epsilon$ .

Then

$$Z = \exp(-0/\tau) + \exp(-\epsilon/\tau) \\ = 1 + e^{-\epsilon/\tau}$$

Probability of having zero energy is  $P(0) = \frac{1}{1 + e^{-\epsilon/\tau}}$

energy  $\epsilon$  is  $P(\epsilon) = \frac{e^{-\epsilon/\tau}}{1 + e^{-\epsilon/\tau}}$

$< P(0)$  at  
all  $\epsilon$ .

\* Average energy?

$$u = \tau^2 \left( \frac{\partial \ln Z}{\partial \tau} \right) = \frac{\tau^2}{Z} \frac{\partial Z}{\partial \tau}$$

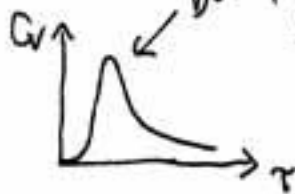
$$\text{Now } \frac{\partial Z}{\partial \tau} = 0 + \frac{\epsilon}{\tau^2} e^{-\epsilon/\tau}$$

$$\text{So } u = \epsilon \frac{e^{-\epsilon/\tau}}{(1 + e^{-\epsilon/\tau})}$$

\* Specific heat? (constant volume)

$$C_V = \left( \frac{\partial u}{\partial \tau} \right)_V = (\text{algebra, check this!})$$

$$= \left( \frac{\epsilon}{\tau} \right)^2 \frac{e^{-\epsilon/\tau}}{[e^{-\epsilon/\tau} + 1]^2}$$



bump is called  
Schottky  
anomaly